

Buying and Selling Broker-Dealers

There may come a time when merging with another Broker-Dealer is advisable. Or, perhaps there is an economic benefit that would be best met by buying a Broker-Dealer, rather than preparing and filing an application.

Whatever the reason, NCCI can assist you with buying or selling an existing Broker-Dealer that is currently registered and in good standing with the NASD, SEC and state of domicile.

Once the purchase is complete, NCCI is also available to assist in coordinating the notification and registration of the new owners with the NASD, SEC and state jurisdictions.

For further details, including current opportunities and information for interested parties, please visit us at www.BD4Sale.com